Public Involvement

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Key topics of agreements – Working Group

- Need to make stakeholder engagement more "**authoritative**" and "**prescriptive**".
- IUCN recommends that the future policy provides procedural guidance that distinguishes:
  - **minimum requirements** ("must have") and
  - **good practice recommendations** ("nice to have").
- Need to more clearly specify **disclosure policy**, procedures and practices

Key agreements - Consultant

- Need to clarify the role of OFPs in strengthening stakeholder engagement in GEF projects;
- IUCN recommends procedural guidance for stakeholder engagement with minimum requirements for the OFPs - design and execution phase (incl. monitoring and evaluation);
- Need to budget for stakeholder engagement during project execution – e.g. for convening stakeholders for annual or bi-annual project review meetings.

Key agreements – Consultant (2)

- Role of CSOs should be strengthened;
- IUCN recommends:
  - Clear procedural guidance for engaging stakeholders, in particular CSOs, in designing projects (to be monitored)
  - Clarification and strengthening of CSOs rights to put forward projects (e.g. a recommended % share of the portfolio ?) and receive benefits from projects.

Additional considerations

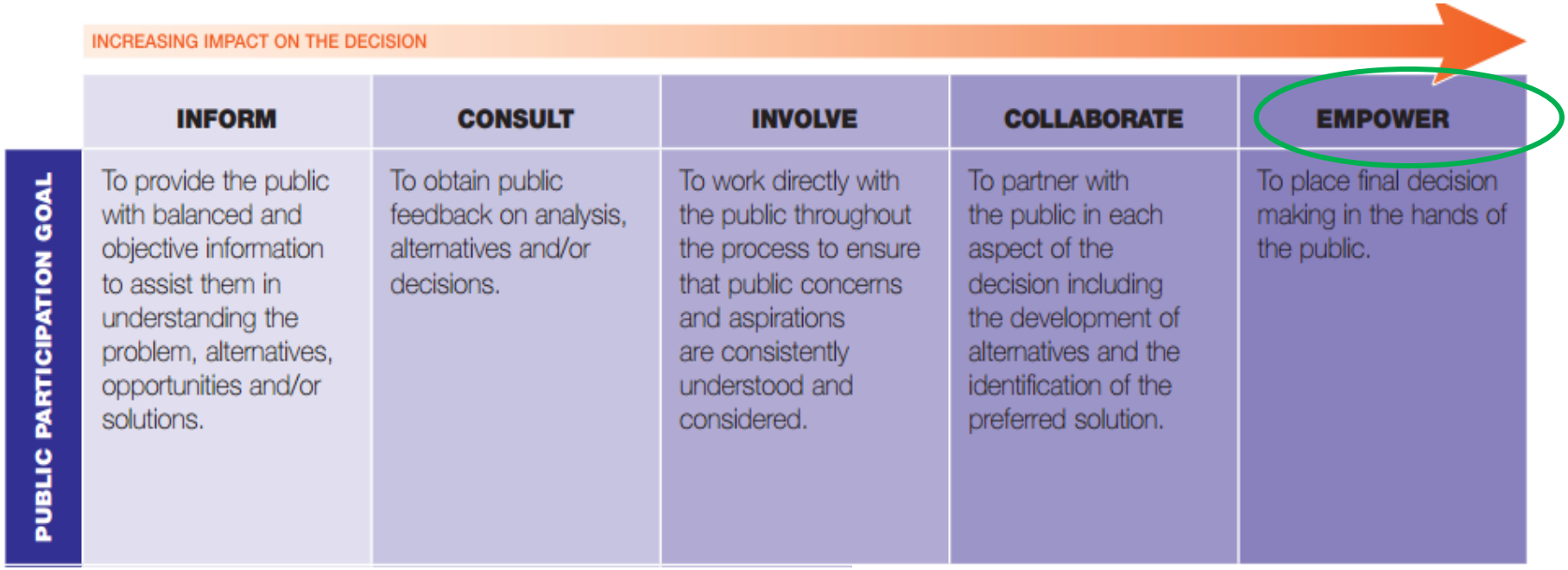
- Need for clear terminology in the policy.
- Table presented in Public Involvement Guidelines distinguishing 5 levels of engagement is a good start

LEVEL OF ENGAGEMENT	ACTIVITY	NATURE OF INTERACTIVITY	LEVEL OF DECISION MAKING	EXPECTED OUTPUTS
1	Information access and dissemination	One-way	None	Better informed outside stakeholders
2	Policy dialogue	Two-way	None	Both sides better informed
3	Policy and programmatic consultation	Two-way	Low	Views of stakeholders taken into account
4	Collaboration	Two-way	Shared	Shared goads and action(short-term and ad-hoc)
5	Partnership	Two-way	Equal	Common goals and action (long-term, institutional)

Approaches developed in other sectors

e.g. International Association for Public Participation

IAP2'S PUBLIC PARTICIPATION SPECTRUM



INCREASING IMPACT ON THE DECISION

PUBLIC PARTICIPATION GOAL	INFORM	CONSULT	INVOLVE	COLLABORATE	EMPOWER
	To provide the public with balanced and objective information to assist them in understanding the problem, alternatives, opportunities and/or solutions.	To obtain public feedback on analysis, alternatives and/or decisions.	To work directly with the public throughout the process to ensure that public concerns and aspirations are consistently understood and considered.	To partner with the public in each aspect of the decision including the development of alternatives and the identification of the preferred solution.	To place final decision making in the hands of the public.

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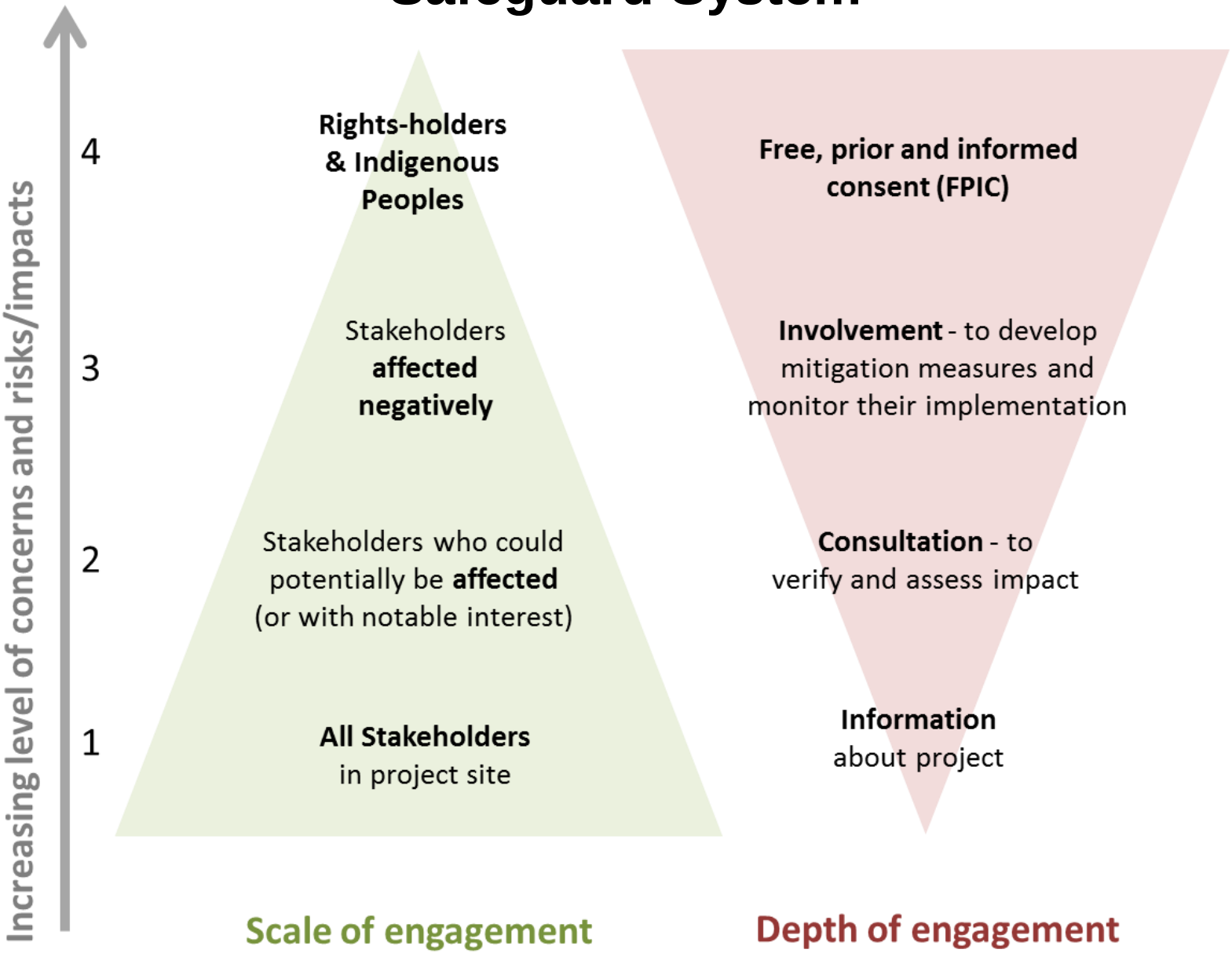
Additional considerations

- Broadening **purpose of public involvement**
  - Not only **instrumental perspective** as reflected in the current policy ("is critical to the success of GEF financed projects")
  - adopting a **rights-based approach**, where engagement in design and implementation of project is a **genuine right** of communities whose lives might be affected, positively or negatively.

Approach to guide SH engagement – IUCN Safeguard System



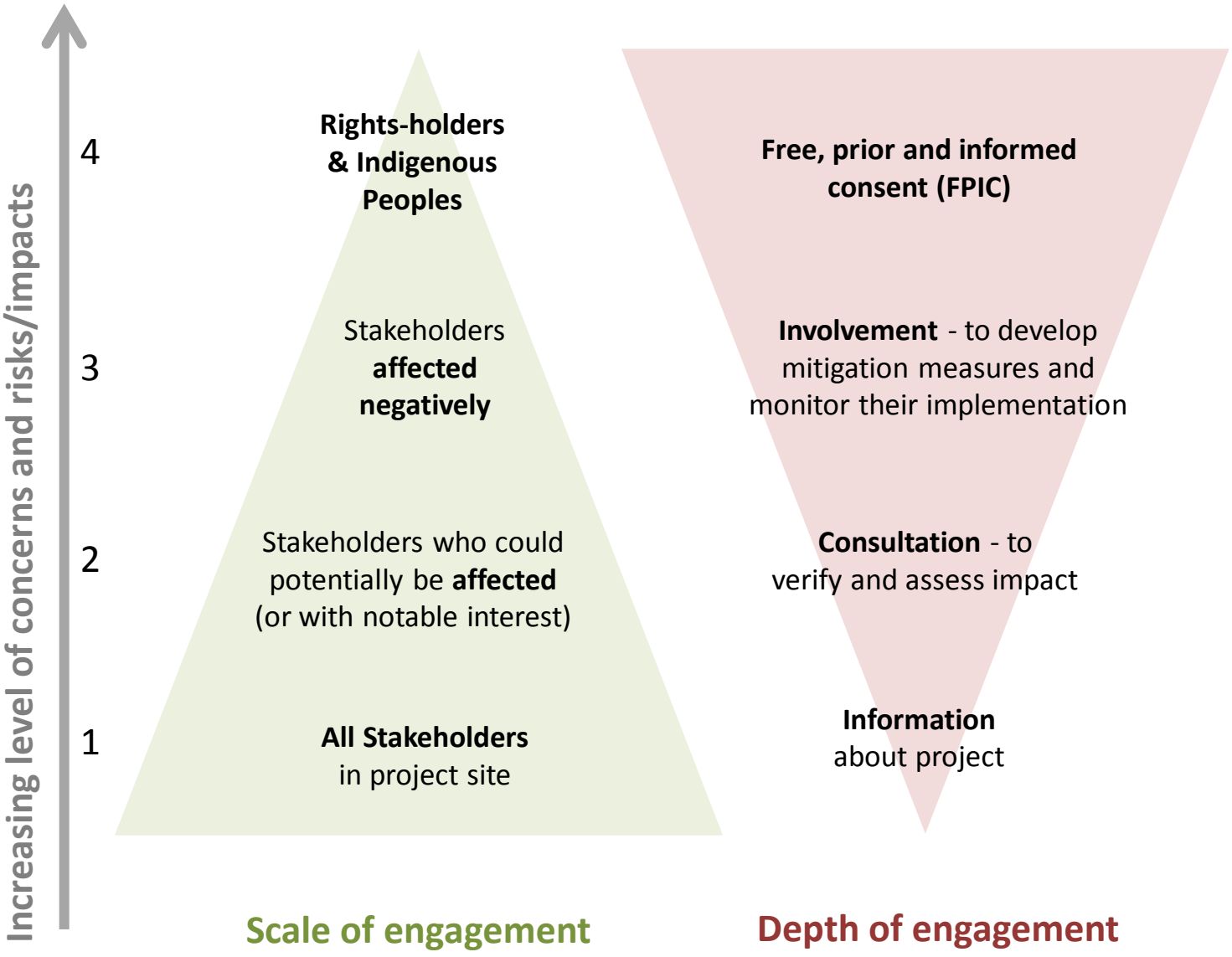
Minimum requirements for disclosure - IUCN Safeguard System

IUCN Project Cycle	Documents to be disclosed	Applicable for	When and where disclosed
Project Concept	ESMS Screening Report	High- and moderate-risk projects	IUCN website[1]
Development of Project Proposal	Non-technical summary of draft ESIA report and ESMP (or other ESMS action plans)	High-risk projects[2]	Prior to final stakeholder consultation, in local channels accessible by relevant stakeholders
	Final ESIA report including ESMP	High- and moderate-risk projects	IUCN website and local channels, prior to project approval
	Project proposal once approved and financing confirmed	All projects > CHF 500,000	IUCN website
Implementation & Monitoring	ESMP monitoring reports	High-risk projects	IUCN website
Evaluation	Findings of ESMP evaluation (as part of end-of-project evaluation)	High- and moderate-risk projects	IUCN website

Minimum requirements for consultation

IUCN Project Cycle	Consultation requirement	ESMS verification of consultation requirement	Applicable for
Project Concept		ESMS Screening Report: assessment of extent of stakeholder engagement in past design process	All projects > CHF 500,000
Development of Project Proposal	ESIA Scoping Study: Consultation with relevant stakeholders to determine most critical impacts for focusing the ESIA		High-risk projects
	ESIA: Consultation with relevant stakeholders and affected groups to understand concerns, assess significance of impacts and identify mitigation measures		High- and moderate- risk projects
	ESIA: Final stakeholder consultation meeting to present draft ESIA report and draft ESMP and confirm assessment results and suitability of mitigation strategy		High-risk projects
	ESIA report: Description of consultation process, including summary of concerns raised and how these concerns have been addressed		High- and moderate- risk projects
		Appraisal of ESIA report: assessment of quality of stakeholder engagement in ESIA	High- and moderate- risk projects
		ESMS clearance: assessment of whether consultation results were appropriately incorporated in the project proposal.	High- and moderate- risk projects
Implementation & Monitoring	Monitor progress of ESMP implementation and effectiveness of mitigation measures: consult with affected groups		High-risk projects
Evaluation	Evaluate effectiveness of mitigation measures: stakeholder consultations including affected groups		High- and moderate- risk projects

Thank You


Increasing level of concerns and risks/impacts
4
3
2
1
Rights-holders & Indigenous Peoples
Stakeholders affected negatively
Stakeholders who could potentially be affected (or with notable interest)
All Stakeholders in project site
Free, prior and informed consent (FPIC)
Involvement - to develop mitigation measures and monitor their implementation
Consultation - to verify and assess impact
Information about project
Scale of engagement
Depth of engagement